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FORM PTO-1449 (Modified)	Attorney Docket No.: 17887-005320US	Application No.: 09/650,273
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant: Greg I. Chiou et al.	
	Filing Date: August 29, 2000	Group: 2154

Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
AA						

		FOREIGN PATENT DOCUMENTS				
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
AB						

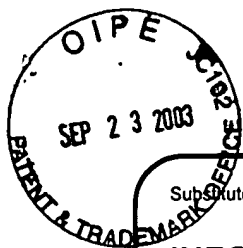|	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
√v. AC	Brooks, C. et al., *Application-Specific Proxy Servers as HTTP Stream Transducers*, pp. 1-11	
AD		

EXAMINER V. Vw	DATE CONSIDERED 10-24-03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

| Sheet | 1 | of | 1 |

Complete if Known	
Application Number	09/650,273
Filing Date	August 29, 2000
First Named Inventor	Chiou, Greg I.
Group Art Unit	~~2163~~ 2154
Examiner Name	
Attorney Docket Number	017887-005320US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	U.S. Patent Document Number	Kind Code[2] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
✓✓	1	5,787,431		Shaughnessy	07/28/98	
✓✓	2	5,884,083,		Royce et al.	03/16/99	
✓✓	3	5,987,256		Wu et al.	11/16/99	

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Examiner Initials*	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

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Substitute for form 1449A/PTO	Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(use as many sheets as necessary)*	Application Number	09/650,273
	Filing Date	August 29, 2000
	First Named Inventor	Chiou, Greg I.
	Art Unit	~~2153~~ 2154
	Examiner Name	To Be Assigned

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Sheet	1	of	2	Attorney Docket Number	017887-005320US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No.[1]	Document Number — Number Kind Code[2] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
√√.	1.	US-5,895,454 A	04-1999	Harrington	
√√.	2.	US-6,128,644 A	10-2000	Hideki	
√√.	3.	US-6,499,052 B1	12-24-2002	Hoang et al.	

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Examiner Initials*	Cite No.[1]	Foreign Patent Document — Country Code[3]	Number[4]	Kind Code[5] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]
√√₁	4.	WO	01/11485	A2	02-15-2001	Hoang, Phu		☐

Examiner Signature	*V. Vu*	Date Considered	10-24-03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] **Applicant's** unique citation designation number **(optional).** [2] Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	2	of	2	

Complete if Known	
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Art Unit	~~2153~~ 2154
Examiner Name	To Be Assigned
Attorney Docket Number	017887-005320US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
UV.	5.	DERWENT Accession No. 2001-354550; Hoang et al., 1999US-0372350 (August 11, 1999), dated May 15, 2002.	,
	6.	From http://www.davidreilley.com/java/, these pages contain FAQ by Java developer; containing book reviews, source code, tips & tricks.	.
	7.	From http://www.javaskyline.com/learnservlets.html, containing organized set of resources for learning Java servlets on the Web, copyrighted Oct. 7, 1999.	.
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	9.	From http::WebDevelopersJournal.com/articles/into_to_servlets.html, An introduction to Java servlets, copyrighted Jan. 17, 1998.	.
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	11.	From http://www.apl.jhu.edu/~hall/CWP.html, Core Web Programming; copyrighted Oct. 28, 1996.	.
	12.	Hideki, PGPub-Document No.: 20010016878-Aug. 23, 2001, Communicating system & method for controlling throughput.	.
	13.	REILLY, David, Java Network Programming FAQ at <http://www.davidreilly.com/java/java_network_programming/> (17 pages); Last Modified April 27, 2000.	.
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	15.	BERGSTEN, Hans, "An Introduction to Java Servlets" at <http://www.webdevelopersjournal.com/articles/intro_to_servlets.html> (11 pages) datedMarch 10, 1999.	.
V.	16.	HALL, Marty, "CORE Web Programming- In-depth Java 1.1, plus HTML, CGI, and JavaScript 1.2" at <http://www.apl.jhu.edu/~hall/CWP.html> (2 pages); undated.	.

Examiner Signature	U. Vu	Date Considered	10-24-03